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APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/662,044

09/11/2003

Subhra Chakrabarti

P 0305447 078200

CONFIRMATION NO. 8318

FORMALITIES LETTER

OC000000011606228

Pillsbury Winthrop LLP Intellectual Property Group Suite 200 11682 E1 Camino Real. San Diego, CA 92130-2092

Date Mailed: 01/02/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$958 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1408 for a Small Entity 08 FC:2001 385.00 DA

• \$385 Statutory basic filing fee.

\$65 Late oath or declaration Surcharge.

02 FC:2001 385.00 DA 03 FC:2201 129.00 DA 04 FC:2202 684.00 DA 05 FC:2203 145.00 DA 06 FC:2051 65.00 DA

- Total additional claim fee(s) for this application is \$958
 - \$129 for 3 independent claims over 3.
 - **\$684** for **76** total claims over 20.
 - \$145 for multiple dependent claim surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Certificate of Mailing Under 37 C.F.R. §1.8

pondence along with any paper referred to as being attached is being Mailed to Addressee by service of the United I hereby certify the specific and specific a

Sachiko Y. Snedden

August 2, 2004 Date:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications) For Design, Provisional, or Utility Applications

COMPL	ETION	Under	
R	ule 53(1	f)	

In re PATENT APPLICATION of

Attn: Application Division

Inventor(s): Chakrabarti et al.

Appln. No.: Atty.Dkt.

Filed: September 11, 2003

Title: ANTIBODIES THAT RECOGNIZE HYPERPROLIFERATIVE CELLS AND METHODS OF MAKING AND

USING SAME

Mail Stop Missing Parts **Commissioner for Patents** PO Box 1450 Alexandria, VA 22313-1450 Date:

August 2, 2004

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

Notice to File Missing Parts 1.

Copy attached

not yet received

2. Signed Declaration attached. □ Original

☐ Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.

2B. The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.

08/06/2004 FFANAIA2 00000100 502212 10662044

01 FC:2255

1005.00 DA

3.	a. [b. # c. [filed in non-English languag Abstract pages of Specificat Drawing(s) No of Sheet Fig(s).	tion(only		hed of:		
4.	. Letter filing formal drawing attached.						
5.	☐ Attached is an assignr	nent and cover sheet. <u>Please</u>	<u>return</u>	the recorded assignment	to the undersigned.		
6.		DNAL priority is claimed und provisional and/or PCT intern			sed on the		
L	Application No.	Filing Date		Application No.	Filing Date		
_	1) 60/410,366	September 11, 2002	(2)				
	<u>3)</u> 5)		(4)				
7.	<u> </u>	med under 35 USC 119(a)-(d)/365(b	<u> </u>	·		
\vdash	Application No.	Filing Date	(2)	Application No.	Filing Date		
	<u>1)</u> 3)		(2)				
_	5)		(6)				
8.	(No.) Certified cop in U.S. Application			previously filed (date)	Completion Under Rule 53(f)		
9. 10.	Small Entity Status → ☑ Attached: Indication	is <u>Not</u> claimed is of Ten Patent Practitioners		<u>s</u> claimed (file PAT-256 if Small Entity Status) er Of Attorney; Postcard F			
11.	☐ Please see the attac reducing the initial filing t	hed Preliminary Amendment ee.	which r	educes the number of cla	ims for purposes of		

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY **CHANGED BY PRELIMINARY AMENDMENT PER ITEM 12 ABOVE**

				Large/Small Entity		Fee Code		
12. Basic Filing Fee	\$340/\$170 \$770/\$385	+385	1002/2002 1001/2001					
13. Total Effective Claims	96	minus 20 =	76	x \$18/\$9	+684	1202/2202		
14. Independent Claims	6	minus 3 =	3	x \$86/\$43	+129	1201/2201		
15. If <u>any proper</u> multiple de (Leave this line <u>blank</u> if this i	\$290/\$145	+145	1203/2203					
16. Surcharge for filing Declaration/filing fee late				\$130/\$65	+65	1051/2051		
17.				FILING FEE =	\$1,408			
18. Original due date: March 2, 2004								
19. Petition is hereby made to extend the <u>original</u> due date to (1 mo) cover the date this response is filed for which the requisite fee is attached (3mos) (4mos) (5mos)				\$110/\$55 = \$420/\$210 = \$950/\$475 = \$1,480/\$740 = \$2,010/\$1,005 =	+0 ·1,005	1251/2251 1252/2252 1253/2253 1254/2254 1255/2255		
20. If "non-English" box 3 is 2	\$130	+0	1053					
21. If "assignment" box 5 is X'd, add recording fee				\$40	+0	8021		
22. Petition Fee for				\$130	+0	1808		
23.				TOTAL FEE =		- 0144 5 0 5		
Our Deposit Accou		PLEASE CHARGE OUR DEP. ACCT.						
Our Order No.	078200		0305447	l	<u> </u>			
-	C#		M#					

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

> **Pillsbury Winthrop LLP Intellectual Property Group**

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43,488

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NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

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